Form	
AB-200	

# Alcohol Beverage License Application

For Municipal Use Only Municipality

.

License Period

License(s) Requested: (up to two boxes may be checked)			Fees			
Class "A" Beer \$	Class "B" Beer \$	License Fe	es	\$		
"Class A" Liquor \$	☐ "Class B" Liquor \$	Background	d Check Fee	\$		
"Class A" Liquor (cider only) \$	Reserve "Class B" Liquor \$	Publication	\$			
☐ "Class C" Liquor (wine only) \$		Total Fees		\$		
Part A: Premises/Business Information						
1. Legal Business Name (individual name if sole prop	orietorship)					
2. Business Trade Name or DBA						
3. FEIN 4. Wisconsin Seller's Permit Number						
5. Entity Type (check one)	Limited Liability Company	orporation		fit Organization		
6. State of Organization	7. Date of Organization	on Number				
9. Premises Address						
10. City	11. State	12. Zip Code				
13. County	14. Governing Municipality: City Town of:	Village	e 15. Aldermanic District			
16. Premises Phone	17. Premises Email	18. Website				
<ul> <li>19. Premises Description - Describe the building or buildings where alcohol beverages are produced, sold, stored, or consumed, and related records are kept. Describe all rooms within the building, including living quarters. Authorized alcohol beverage activities and storage of records may occur only on the premises described in this application. Attach a map or diagram and additional sheets if necessary.</li> </ul>						
20. Mailing Address (if different from premises address)						
21. City		22. State	23. Zip Code			

Part B: Questions							
1. Has the business (sole proprietorship, partnership, limited liability company, or corporation) been convicted of violating federal or state laws or local ordinances? Exclude traffic offenses unless related to alcohol beverages.					🗌 No		
If yes, list the details of violation below. Attach additional sheets if necessary.							
Law/Ordinance Violated	Location		Trial Date				
Penalty Imposed		Was sentence completed? Yes			🗌 No		
Law/Ordinance Violated	Location		Trial Date				
Penalty Imposed		Was sentence co	mpleted?	Yes	🗌 No		

2. Are charges for any offenses pend beverages.	ding against the b	ousiness	? Exclude traffic	offenses un	less related to alc	ohol 🗌 `	Yes 🗌 No
If yes, describe the nature and status of pending charges using the space below. Attach additional sheets as needed.							
3. Is the applicant business or any of its officers, directors, members, agent, employees, owners, or other related individuals or entities a restricted investor with any interest in an alcohol beverage producer or distributor? Yes No If yes, provide the name of the restricted investor and describe the nature of the interest.							
<ol> <li>Is the applicant business owned b If yes, provide the name(s) and FE</li> </ol>							Yes 🗌 No
4a. Name of Business Entity			4b. Busines	s Entity FEIN			
5. Have the partners, agent, or sole this license period? Submit proof of							Yes 🗌 No
6. Is the applicant business indebted	to any wholesal	er beyor	nd 15 days for be	er or 30 day	s for liquor/wine?	· · · · · · · 🔲 '	Yes 🗌 No
7. Does the applicant business owe	past due municip	al prope	erty taxes, assess	sments, or o	ther fees?		Yes 🗌 No
Part C: Individual Information							
List the name, title, and phone number fo Question 4: sole proprietor, all officers, di managers, and agent of a limited liability	rectors, and agent	of a corp	oration or nonprofit	organization,			
Include Form AB-100 for each person list		tions and	LLCs must appoin		including Form AB-		
Last Name	First Name			Title		Phone	
Part D: Attestation							
One of the following must sign and a • sole proprietor • one get	ittest to this applicent applicent to the second seco		ershin • on	e corporate	officer • one	e member of	anllC
READ CAREFULLY BEFORE SIGNING		•					
I am acting solely on behalf of the applic rights and responsibilities conferred by the terms of the second							
according to the law, including but not lir to any portion of a licensed premises du	mited to, purchasin	g alcoho	l beverages from s	tate authorize	ed wholesalers. I ur	derstand that	lack of access
revocation of this license. I understand t understand that I may be prosecuted for	that any license iss	ued con	trary to Wis. Stat. 0	Chapter 125 ຮ	shall be void under	penalty of sta	te law. I further
ingly provides materially false informatio							
Last Name			First Name				M.I.
Title		Email				Phone	
Signature				Date			
Part E: For Clerk Use Only							
	License Number			Date Li	cense Granted	Date Licens	e Issued
Signature of Clerk/Deputy Clerk					Date Provisional L	_icense Issued	l (if applicable)
5							(

## Form AB-200 Instructions

Alcohol Beverage License Application

## Who needs an alcohol beverage license?

Any individual or entity that wants to sell alcohol beverages to consumers or allow consumption in a public place must get a retail alcohol beverage license.

### Who issues alcohol beverage licenses?

Cities, villages, and towns issue alcohol beverage licenses after the governing body (city council, town or village board) grants the license.

## Specific Instructions

License Period:

• Annual licenses expire June 30 each year, except licenses issued by the City of Milwaukee. Annual licenses issued by the City of Milwaukee also may be issued at any time throughout the year, but are valid for one year from the date of issuance.

#### License Requested and License Fees:

- Select the alcohol beverage license(s) you would like to apply for.
- Generally, you may apply for no more than two licenses for the same premises. Further, some license combinations are not acceptable, (e.g., "Class A" and a Class "B").
- For descriptions of each of the alcohol beverage licenses and their authorizations, see <u>Publication 302</u>, *Information for Wisconsin Alcohol Beverage and Tobacco Retailers*, and *Fact Sheet 3101*, <u>Licenses for Retail Sale of Alcohol Beverages</u>.
- License costs are determined by the municipality within a range set by state law. Ask your clerk how much the license, background check, and publishing fees in that municipality cost.
- License fees for licenses issued for less than one year must be prorated according to the number of months or fraction of months remaining in the licensing period.

#### Part A: Premises/Business Information

- Box 1: Enter the legal business name or individual name if a sole proprietor.
- Box 2: Enter the trade name or "doing business as" name, if different than the name in box 1.
- Box 4: Seller's permits begin with the digits "456." For questions about obtaining a seller's permit, see <u>Seller's Permit Common Questions</u>.
- Box 5: Check one entity type to indicate how the business is legally organized.
- Box 6-7: Provide the state and date of organization of the legal entity.
- Box 8: Provide the Wisconsin Department of Financial Institutions Registration number. This number is assigned to the entity when it is registered with DFI. It can be located using the Department of Financial Institution's <u>Corporate Records Search</u>.
- Boxes 9-19: All requests for "premises" information are requests for the physical location within the municipality and contact information to reach the business during open hours.
- Box 19: Describe the premises in detail. Include outdoor spaces if your municipality allows it. Some municipalities have specific requirements for outdoor spaces as a part of the licensed premises. Call your municipal clerk to learn more. Attach a floor plan if possible.

**Example:** The premises is located at 1234 Main St., Realtown, WI 12345 and includes only the first-floor bar room, dining room, kitchen, north storage room, and south office of the 5,000 square foot building.

• Box 20-23 Provide the mailing address for the business, if different from the address in boxes 9-12.

#### Part B: Questions

• Questions 1 and 2: Disclose any civil or criminal violations of law and pending charges in any jurisdiction (federal, state, or local ordinance). Include detailed descriptions of any violations of law involving alcohol beverages. Attach additional sheets as necessary.

- Question 3: Wisconsin law generally prohibits alcohol beverage industry members from having an interest in another tier. The law provides some exceptions, with limitations, for restricted investors. If the applicant business, or any of its officers, directors, members, agent, employees, owners, or other related individuals has an interest in an alcohol beverage producer or distributor, list the restricted investors and describe the nature of their interest. A restricted investor with an allowable interest in another tier must complete AB-104, Restricted Investor Affidavit. Attach additional sheets as necessary.
- Question 4: If the applicant is owned by another business entity, provide the legal entity name(s) and FEIN(s) of all upstream entity ownership. Attach an organizational chart if possible. Include all persons involved in upstream entity ownership in the table in Part C and submit Form AB-100 for each of those persons with this application.
- Question 5: Wisconsin law requires all sole proprietors, partners, and agents of corporations and LLCs to successfully complete a Wisconsin approved responsible beverage server (RBS) training course within the past two years unless one of the following applies. Submit the associated document with this application.
  - The applicant is renewing a license, or
  - Within the past two years:
    - a. The applicant held a manager's or operator's (bartender) license.
    - b. The applicant held or was the agent of a corporation or LLC that held any municipally issued alcohol beverage license in Wisconsin.

**Note:** To learn about your responsibility to complete the responsible beverage server requirement, please review <u>Publication 302</u>, *Information for Wisconsin Alcohol Beverage and Tobacco Retailers*.

- Question 6: A licensee may only buy liquor or beer for cash or on credit terms for a period not to exceed 15 days for beer and 30 days for liquor. A person may not be issued a license if they are indebted to a wholesaler in excess of these limits.
- Question 7: Renewal of licenses may be denied pursuant to a local ordinance if the licensee owes past due municipal taxes, assessments, or other fees.

#### Part C: Individual Information

• Provide basic information for all persons involved in the retail alcohol beverage business who are owners, officers, directors, managers, members, or the agent. Include ownership information as identified in Part B, Question 4.

**Example**: Titles could include Agent, President, Treasurer, Director, Chief Financial Officer, Member, Partner, etc.

- Sole-proprietors, partners in a partnership, and the agent of an LLC or corporation must reside in Wisconsin continuously for 90 days prior to application.
- Include an Alcohol Beverage Individual Questionnaire (Form AB-100) for each person listed in this section with the submission of this application.

#### Part D: Attestation

• Read the attestation carefully, then sign and date.

#### Part E: For Clerk Use Only

- "Date license granted" means the date the municipal governing body approves the license to be issued.
- "Date license issued" means the date the municipal clerk issues the license certificate document.

#### **Completion and Submission of AB-200**

- Submit the completed application to the clerk of the municipality in which you are applying for a license.
- License applications must be filed with the municipal clerk at least 15 days before they can be approved by the governing body, except licenses issued by municipalities within Milwaukee County. Governing bodies of municipalities within Milwaukee County establish their own period that applications must be filed with the municipal clerk.
- In addition to Form **AB-200**, include:
  - Form AB-100, Alcohol Beverage Individual Questionnaire, for all individuals listed in part C
  - Form AB-101 Alcohol Beverage Appointment of Agent, for corporation, nonprofit organizations, and LLC applicants
  - License and publication fees as required by your municipality

- Responsible beverage server training course completion certificate or other acceptable replacement document described in Part B, Question 5
- Proof the applicant holds a seller's permit, such as a copy of the seller's permit document

**Note:** See <u>Publication 206</u>, *Sales Tax Exemptions for Nonprofit Organizations*, for information on when a nonprofit organization may be exempt from holding a seller's permit.

• All other information and documents required by your municipality

**NOTE:** You are required by federal law to register as an Alcohol Dealer with the federal Alcohol and Tobacco Tax and Trade Bureau (TTB) before beginning business. Use <u>Form TTB F 5630.5d</u>, *Alcohol Dealer Registration*, and return the form to the address listed on the instructions.

## **Open Records**

This application is an open record under Wisconsin law (sec. <u>19.35</u>, Wis. Stats.) and may be provided to the public. If this license is issued by your municipality, your municipality must report the license to the Wisconsin Department of Revenue. The department publishes a list of alcohol beverage licensees reported by municipalities. The department will not disclose personal information such as residential addresses, home phone numbers, social security numbers, age, birth date, and place of birth of individuals, including partners, officers, directors, members, managers, and agents of corporations or LLCs.

#### Assistance

This form is designed by the Department of Revenue for use by municipal governments. If you require assistance with this form, consider reaching out to your municipal clerk for assistance with the following:

- · Submission of this application and associated forms
- · Availability and cost of certain licenses

If you have questions about alcohol beverage laws and regulations, you may contact the Department of Revenue using the contact information below.

Website: DOR Alcohol Beverage (wi.gov) Write: DORAlcohol@wisconsin.gov Call: (608) 266-2526

#### **Resources Provided by the Department of Revenue**

#### License frequently asked questions

Publication 302 Information for Wisconsin Alcohol Beverage and Tobacco Retailers Publication 309 Retail Alcohol Beverage Licensing Guide for Municipalities Fact Sheet 3101 Licenses for Retail Sale of Alcohol Beverages Fact Sheet 3103 Licensed or Permitted Premises Description Fact Sheet 3116 Reserve "Class B" Liquor Licenses Fact Sheet 3118 "Class B" Liquor License Quotas